

<b>Interview Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/715,483	YAMAMURA ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Brian J. Broadhead	3661	

All participants (applicant, applicant's representative, PTO personnel):

(1) Brian J. Broadhead.

(3) John Hankins. *Jan*

(2) Thomas Black.

(4) Toshi Kaneko *Toshi*

Date of Interview: 27 April 2005.

Type: a) ☐ Telephonic b) ☐ Video Conference  
c) ☒ Personal [copy given to: 1) ☒ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1,2,4-6 and 13-15.

Identification of prior art discussed: \_\_\_\_\_. Seto, US 2002/0152015

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: \_\_\_\_\_ Applicant's representative discussed the prior art cited and argued the limitations of the claims were not ~~disclosed~~ disclosed. Applicant will clarify arguments in written response.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

*Brian J. Broadhead*  
Examiner's signature, if required

U.S.P.

PTOL-413A (US-04)  
Approved for use through 07/31/2005. OMB 0651-0031  
USDPH N F R

## Applicant Initiated Interview Request Form

Application No.: 10/715,483 First Named Applicant: Yamamura  
 Examiner: Brian Broadhead Art Unit: 3661 Status of Application: After 1st O.A.

## Tentative Participants:

(1) Examiner Broadhead (2) Examiner Supervisor  
 (3) John Hankins (4) Toshi Kaneko (Assignee Representative)

Proposed Date of Interview: 4/27/2005 Proposed Time: 1:00 (AM/PM)

## Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

## Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>102, 103</u>	<u>1, 2, 4-6, 13-15</u>	<u>Seto, Watanabe</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Continuation Sheet Attached					

## Brief Description of Arguments to be Presented:

Failure of cited art to identically disclose claimed invention,  
including risk potential calculating section as claimed

An interview was conducted on the above-identified application on 4/27/05.  
 NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Applicant/Applicant's Representative Signature

John A. Hankins

Typed/Printed Name of Applicant or Representative

Reg. No. 32,029

Registration Number, if applicable

Examiner/SPE Signature

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO in process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.